PRC Executive Seminar Business Continuity and Resilience Planning: A Decade After 9/11 Working Agenda

June 8, Liberty Room Federal Reserve Bank of New York Program: 1:00 – 5:30 p.m. Reception: 5:30 – 6:30 p.m.

1:00 - 1:15

- 1. Welcome seminar objectives and structure
 - Welcome by Chris Cumming, First Vice President and Chief Operating Officer, Federal Reserve Bank of New York
 - > Overall host Robin Vince, PRC Chairman and MD of Global Operations, Goldman Sachs

1:15 - 1:45

- 2. Financial System Resilience: U.S. experience & lessons learned from September 11 & subsequent events
 - Speaker: Larry Sweet, SVP Payments Policy, FRBNY

1:45 - 2:30

- 3. Resilience Management: Ensuring sufficient forethought
 - Speaker: Lisa Young, Carnegie Mellon University, CERT, Resiliency Engineering and Management team

2:30 - 3:30

- 4. Panel of FMU representatives
 - Lessons learned, successful strategies, open issues, emerging problems
 - Moderator: Steve Ellis, EVP & Head of Wholesale Services, Wells Fargo Bank
 - Panelist 1: Al Wood, EVP for Technology and Operations, The Clearing House
 - Panelist 2: George Peretti, MD for Technology, DTCC
 - Panelist 3: Roy Thetford, VP Information Security FRBNY

3:30 - 3:45 Break

3:45 - 4:45

- 5. Panel on operational utilities and emergency response coordination
 - Moderator: Kurt Woetzel, Head of Global Operations and Technology, Bank of New York Mellon
 - Panelist 1: Patricia Hoffman, Assistant Secretary, Office of Electricity Delivery and Energy Reliability, U.S. Department of Energy
 - Panelist 2: Karl Rauscher, Chief Technology Officer, East West Institute
 - > Panelist 3: Jane Carlin, Morgan Stanley, chair of Financial Sector Coordinating Council

4:45 - 5:15 or 5:30

- 6. Wrap up on issues for PRC
 - Open discussion among seminar participants on lingering and open issues, key dependences, possible next steps
 - Moderator: Robin Vince, PRC Chairman

Reception to follow